

# Generalized Zero Shot Learning for Intent Classification and Slot Filling

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# Introduction to DST

During the dialogue, we recognize the user intends and fill the appropriate slot.

For different services, we have different intents and slots.

So if we include new service we need to provide train dialogues and train again system.

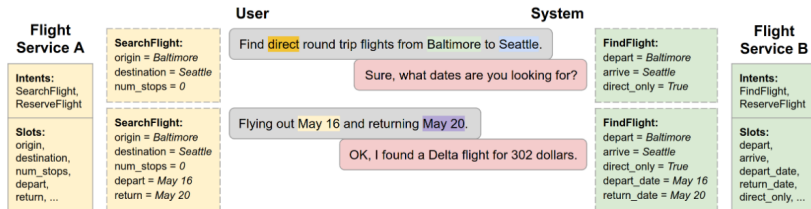


Figure: Example of schema dialogue system.

Generalized Zero-shot learning aims to recognize objects whose class may not have been seen during training.

For classes, we have some description. So GZSL methods trying to extract knowledge between class description and class example.

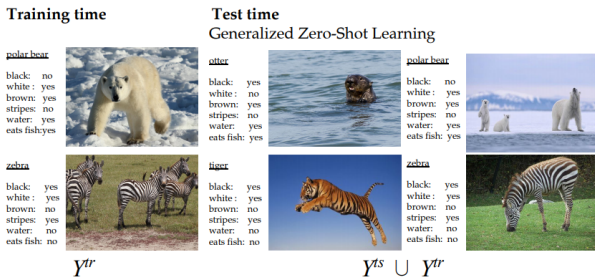


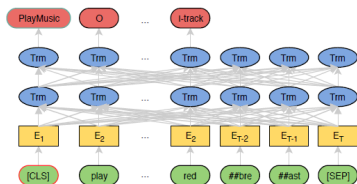
Figure: Flow visualisation<sup>1</sup>

<sup>1</sup>B. Schiele Y. Xian C. H. Lampert and Z. Akata. "Zero-Shot Learning - A Comprehensive Evaluation of the Good, the Bad and the Ugly". *arXiv preprint arXiv:1806.01528*. (2018). [URL](https://arxiv.org/abs/1806.01528) 4/14

# BERT approaches

BERT is a popular architecture for many NLP tasks. There are a lot of works in DST with BERT. For example in this work with architecture similar to this work..<sup>2</sup>

$$[h_0, \dots, h_T] = \text{BERT}([e_1, \dots, e_T]); y_i = \text{softmax}(Wh_i + b);$$



**Figure:** A high-level view of the observed model. The input query is “play the song little robin redbreast”.

<sup>2</sup>W. Wang Q. Chen Z. Zhuo. “BERT for Joint Intent Classification and Slot Filling”. In: (2019). URL: <https://arxiv.org/pdf/1902.10909v1.pdf>.

Our command creating a tool for Zero-shot task. Using this system you can perform zls task or evaluate your architecture on wild used datasets.

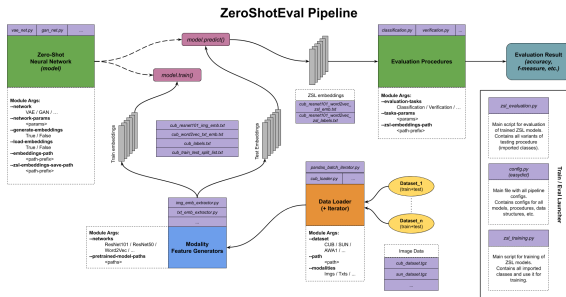


Figure: Structure of Zero-shot eval system<sup>3</sup>

<sup>3</sup><https://github.com/ZSLresearch-team/ZeroShotEval>

There's a lot of different approaches to perform GZSL task.  
In ZeroShortEval implement 2 nets: CADA-VAE and LISGAN.  
CADA-VAE: based on Variation auto encoder.<sup>4</sup>  
LISGAN: based on GAN<sup>5</sup>  
for input to this nets, we take picture embedding(resnet-101) and class embedding. output: ZSL-embedding.  
Also, there are Bayesian networks, knowledge graph etc.

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<sup>4</sup>S. Sinha T. Darrell Z. Akata E. Schonfeld S. Ebrahimi. "Generalized Zero- and Few-Shot Learning via Aligned Variational Autoencoders". In: (2019). URL: <https://arxiv.org/pdf/1812.01784.pdf>.

<sup>5</sup>B. Schiele Z. Akata Y. Xian T. Lorenz. "Feature Generating Networks for Zero-Shot Learning". In: (2018). URL: <https://arxiv.org/pdf/1712.00981v2.pdf>.

# Datasets

During literature reviews next datasets is frequent occurrence: DSTC 2, SNIPS.

Also occur: XSchema, MultiWOZ 2.1., ect

Also some special Dataset DSTC-8 (SGP-DST).<sup>6</sup>Special new dataset for ZSL approach in DST.

Metric ↓ Dataset →	DSTC2	WOZ2.0	MultiWOZ	SGD
No. of domains	1	1	7	<b>16</b>
No. of dialogues	1,612	600	8,438	<b>16,142</b>
Total no. of turns	23,354	4,472	113,556	<b>329,964</b>
Avg. turns per dialogue	14.49	7.45	13.46	<b>20.44</b>
Avg. tokens per turn	8.54	11.24	<b>13.13</b>	9.75
Total unique tokens	986	2,142	23,689	<b>30,352</b>
No. of slots	8	4	24	<b>214</b>
No. of slot values	212	99	4,510	<b>14,139</b>

Figure: Datasets statistics.

<sup>6</sup>S. Sunkara R. Gupta P. Khaitan A. Rastogi X. Zang. "Schema-Guided Dialogue State Tracking Task at DSTC8". In: (2020). URL: <https://arxiv.org/pdf/2002.01359.pdf>.



We use DSTC-8 - special dataset containing many services with different intents.

split:

- 1 In the first setup, we unite intent with the same name, but different thesis
- 2 In the second setup, we consider similar intends in different services as different.
- 3 In the second setup, we consider similar intends in different services as different, but add service descriptions.

Encoders:

- Roberta
- XLM Roberta
- Universal sentence encoder

# Results CADVAE

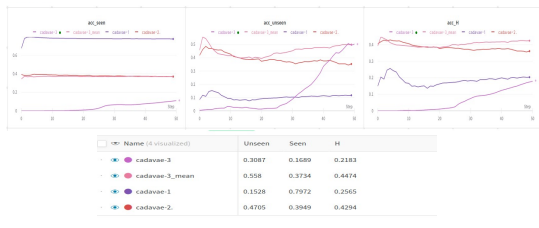


Figure: different scenarios.

1 scenario give best seen accuracy because we unite similar intents from different services.

3 scenario give the worst result, but with the summed description it gives a much better result.

2 scenario are quite balanced. Badly seen accuracy can be related to the similarity of intends in different services.

# Results CADVAE



Figure: different encoders.

# Results Lisgan

 Name (6 visualized)	H	Seen	Unseen
  lisgan-3-xlm	0.0003423	0.6808	0.0001712
  lisgan-3	0.01255	0.6749	0.006333
  lisgan-1-xlm	0.0003423	0.6844	0.0001712
  lisgan-1	0.0006844	0.6772	0.0003423
  lisgan-2_use	0.0006844	0.6772	0.0003423
  lisgan-2_xlm	0.0003423	0.6844	0.0001712

Figure: different encoders.

# Conclusion

- During this work, we test opportunity use ZSL architectures(LISGAN, CADAVAE) from computer vision tasks on NLP It shows the universality of checked architectures.
- During work, we try different splittings and different encoders. Provide experiments results and their interpretations.
- Develop ZeroShotEval framework.
- Thesis is accepted in ISSC 2021.

Thank you for attention.